

# Polar Capital Global Financials Trust plc

The General Meeting is to be held as a closed meeting, commencing at 11.00am on Monday, 1 February 2021

## GENERAL MEETING

PLEASE NOTE THAT THE MEETING WILL BE CONDUCTED AS A CLOSED MEETING TO WHICH SHAREHOLDERS WILL NOT BE ADMITTED.

In light of these arrangements, shareholders are directed to the Letter from the Chairman on pages 2 to 5 of the GM Notice document for information relating to attending the General Meeting and voting on the Proposal.

Shareholder Reference Number

Perivan 260386



Please mark this box if signing on behalf of the shareholder as Power of Attorney, Receiver, or Third Party. This card should not be used for any comments, change of address, or other queries. Please send a separate instruction.

Signature(s)

Date



# Polar Capital Global Financials Trust plc



Form of proxy for use at the General Meeting (GM) to be held as a closed meeting at 11.00am on Monday, 1 February 2021 and at any adjournment thereof.

Shareholder Reference Number

Before completing, please read the Notice of General Meeting and the instructions for completion.

I/We, the undersigned, hereby appoint the Chairman (note 1) of the General Meeting, or

as my/our proxy, to attend, speak and vote in respect of my full entitlement or shares on my/our behalf at the GM of the Company to be held on **1 February 2021** and at any adjournment thereof.

The proxy will vote on the under-mentioned resolution, as indicated. The proxy will vote at his or her discretion or abstain from voting on the resolution listed below if no instruction is given regarding that resolution and on any other business transacted at the meeting.

Please indicate your vote by marking the appropriate boxes in black ink like this:

### Ordinary Resolutions

	For	Against	Vote Withheld
1. To disapply pre-emption rights in respect of Existing Treasury Shares.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

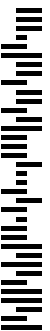


# INSTRUCTIONS FOR COMPLETION OF PROXY FORM

**This form of proxy is subject to the provisions of the Corporate Insolvency and Governance Act 2020 and, to the extent that this form of proxy is inconsistent with such provisions, such provisions shall apply. Shareholders are directed to the Letter from the Chairman on pages 2 to 5 of the Circular document for information relating to the General Meeting and voting on the Proposal.**

- 1 Every holder has the right to appoint some other person(s) of their choice, who need not be a shareholder as his proxy to exercise all or any of his rights, to attend, speak and vote on their behalf at the meeting. However, the meeting is being held as a closed meeting to which shareholders, guests or other individuals will not be admitted. You are therefore encouraged to appoint the Chairman of the GM as your proxy. If the proxy is being appointed in relation to less than your full voting entitlement, please enter in the box next to the proxy holder's name (see reverse) the number of shares in relation to which they are authorised to act as your proxy. If left blank your proxy will be deemed to be authorised in respect of your full voting entitlement (or if this proxy form has been issued in respect of a designated account for a shareholder, the full voting entitlement for that designated account).
- 2 While holders are entitled to appoint more than one proxy, due to the General Meeting being a closed meeting individuals will not be admitted to the GM and holders are encouraged to submit a single proxy form appointing the Chairman of the GM.
- 3 Your proxy will vote or abstain from voting as he/she thinks fit on any other business which may properly come before the GM. If you tick 'against' your vote will not be counted in computing the required majority. If you tick 'vote withheld' for any resolution you are directing your proxy not to vote and your votes will not be counted in computing the required majority.
- 4 In the case of joint shareholders, any of the shareholders may vote in respect of their holdings but, where more than one is present at the GM, only the first-named in the share register present at the GM in person or by proxy shall be entitled to vote.
- 5 In the case of a corporation this form must be executed under its common seal or be signed on its behalf by an attorney or a duly authorised officer of the corporation.
- 6 To be effective, this form should be completed and returned so as to reach Equiniti Limited at the address given below not later than 48 hours before the time appointed for the GM or any adjourned GM (excluding non-working days).
- 7 If this form of proxy is executed under a power of attorney, the power of attorney or authority under which it is signed, or a notarially certified copy of such power or authority, must be deposited at Equiniti Limited at the address given below, together with this form of proxy.
- 8 If you hold shares through CREST you may, if you wish, register your proxy appointment electronically using the CREST electronic proxy appointment service. A proxy appointment made electronically will not be valid if sent to any address other than that provided or if received after 11:00am on 28 January 2021. For details on how to use the CREST service please refer to the Notice of General Meeting. Please note that any electronic communication found to contain a computer virus will not be accepted.
- 9 Pursuant to regulation 41 of the Uncertificated Securities Regulations 2001, entitlement to attend and vote at the meeting and the number of votes which may be cast thereat will be determined by reference to the Register of Members of the Company at 6.30pm on the day which is two working days before the day of the meeting. Changes to entries on the Register of Members after that time shall be disregarded in determining the rights of any person to attend and vote at the meeting.
- 10 Any alterations made to this form should be initialled.

Equiniti Limited, Aspect House, Spencer Road, Lancing West Sussex BN99 6DA



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